The Borromini Gallery in Palazzo Spada, Rome Ideal regular model and deformed real model Abacus of the perspective deformations Camillo Trevisan

Any attentive observer visiting and walking through the Gallery of Palazzo Spada, in Rome, will be struck by a real and deliberate contradiction: he witnesses a deformed architectural structure but perceives – due to rational decodification – an ideal regular gallery in which the columns are all of the same height and equidistant from one another.

In reality, a similar experience occurs every time we observe a perspective or a photograph. Nevertheless, in this case the scenic effect created by entering into a perspective, enabling us to run it through in its entirety even to an uncertain and unfamiliar threshold, projects us into a new dimension. This resulting light dizziness is then accentuated by the continuous modification of both what we can see and what we perceive. Once this immediate visual wonder has been overcome, the desire to understand remains. How was the Gallery created? Which rules does it follow? How should it be followed if we want to recreate the evident correspondence with a regular gallery? And with which regular gallery?

The aim of this study is to present the answers to some of these questions.

The first section investigates and studies in detail the configuration of the real gallery, suggesting the existence of a method which is not perspective of the arrangement in depth of the columns and the floor panels.

Since the disposition of the columns does not follow a perspective – and there is therefore no projective mechanism which places the Gallery in a close and biunique relationship to a family of regular models – it is justified to ask if a regular interpolating model exists, preferable to all the other, infinite, possible regular models. The second section studies the ideal regular model under the guidance of proportional research in the absence of indications of a distinctively projective type. Finally, the last section examines in detail the geometrical analysis, subdividing and illustrating the effects of the variations of some constructive parameters of a solid perspective.

The appendix includes some methods of geometrical construction of a solid perspective, illustrating the use of a software for the generation of solid perspectives and their counter deformation. Finally, it includes the definitions of the nomenclature used in the article.

1. The characteristics of the arrangement of the columns and panels of the floor in the real Gallery

Rocco Sinisgalli¹ has pointed out, after careful research, that the Gallery in Palazzo Spada is not really a true and characteristic solid perspective. Or rather, of the two fundamental characteristics of a solid perspective – but also of a linear perspective, that is the convergence of orthogonals of the projection plane towards a single vanishing point and the arrangement in depth of the objects in function of their distance from the viewpoint – only the former is rigorously respected and verified. In fact, if a single vanishing point² exists, nevertheless the vertical axis of the 12 pairs of columns of the real Gallery are not placed planimetrically following a rigorous perspective arrangement³ (cf. figure 1.1 and 1.2).

A generic regular model⁴, deformed in solid perspective so that its first and last columns coincide with the first and last column of the real Gallery, does therefore not maintain the coincidence of the other intermediate columns too with the same of the solid perspective: the greater the distance at the beginning or end of the Gallery, the greater their displacement will be with respect to the foreseen position in a true solid perspective.⁵

In other words, although they do not refer to the scheme of deformation of a regular model scanned by identical intercolumns, the columns of the Gallery Spada do not refer to the scheme of deformation of a regular model (cf. fig. 1.2). The attempt to answer the following question is therefore of great interest: why did Borromini choose precisely that arrangement in depth instead of that perspective? Does a clear and connecting rule exist between the planimetrical positions of the axis of the columns or were they positioned following inscrutable and indefinable subjective mechanisms, simply liberally modifying a basic perspective arrangement?

¹ See: Rocco Sinisgalli, Una storia della scena prospettica, dal Rinascimento al Barocco. Borromini a quattro dimensioni, Cadmo, Firenze 1998.

 $^{^2}$ The vanishing point is identified very clearly and is placed on the symmetrical plan of the Gallery at approximately 70 roman palms of the vertical plane that passes the axes of the initial columns at a height of 6 palms and 2/3 with respect to the horizontal plane at the beginning: however, the considerations which are pertinent to this section are limited to planimetrical considerations since in altimetry the Gallery faithfully adheres to the disposition of the plan and the convergence towards the vanishing point.

³ Furthermore, it is to be considered that a rigorous application of the methods of the solid perspective would produce columns with a horizontal section which are increasingly elliptical as the distance from the plane of the traces increases (cf. figure 1.1, 1.2 and appendixes A and C). Indeed, figure 1.1 illustrates this effect: the graphic in blue refers to the regular model, deformed in such a way that the axis of the first and last pairs of columns are placed above the actual model in red. In figure 1.2, on the other hand, the contrast is shown between the regular model and the counter-deformed real model (on the left). On the right, the graphic demonstration that the lines connecting the axis of the 12 columns do not converge towards a single viewpoint and not even towards two or three which are clearly defined but rather run towards about 10 different points which are distributed in a space of about six palms. In the graphic on the right we can also see the dimension in palms, relevant to the *ideal* model described in section 2. Here the measurements relative to the calculations and the deviations will be expressed in metres or centimetres.

⁴ See appendix C for the nomenclature. In section 2, however, the existence or lack of, of a privileged regular model is studied with the certainty that if the Gallery of Palazzo Spada were a true solid perspective, infinite regular models would exist – and a corresponding number of viewpoints – all possible and different only regarding their intercolumns. If the point chosen for the restoration of the regular model were not to coincide with that used for the construction of the solid perspective, the restored model would still be regular, even though with intercolumns which are different to those of the initial regular model (cf. section 3 and fig. 3.2.1).

 $^{^{5}}$ The mean least square of the distance between the axes of the columns of the real Gallery and of the ideal deformed regular Gallery is equivalent to 8 cm (10 cm for the floor panels), with deviations in the six central columns of between 7 and 14 centimetres (cf. figure 1.1).

An accurate analysis of the data of the measurements of the inter-axis and of the original floor panels leads us to the conclusion that a rule does exist and it is defined by a geometrical series.

Let us assume, in fact, that the series begins with a *seed* of five roman palms (60 ounces). The distance between the axis of the first two columns will thus be equivalent to 60 ounces and the length of the first floor panel, including the first space, equivalent to 12 ounces, a fifth of sixty). To define the successive inter-axis (and the successive panel), a reduction of the proportion 5/6 is adopted; the second inter-axis (and panel) will therefore be 50 ounces (10 ounces for the second space, a fifth of fifty). The third length of the series will be equivalent to five sixths of fifty, that means 41 ounces and two thirds.

From the fourth element of the series being constructed the coefficient of reduction changes, going from 5/6 (10/12) to 11/12 and from 1/5 to 1/4 for the space between panels. The fourth length of the series will therefore be equivalent to eleven twelfths of 41 and 2/3 and so on to the last inter-axis and the last floor panel.

Even though it has nothing to do with the perspective, this form of detailed arrangement in depth produces a sequence that is much more modulated, without any effect of muddle of the columns towards the end of the Gallery and reducing the length of the first lacuna (cf. fig. 1.1).

Before discussing this hypothesis very closely, two preliminary considerations are necessary.

The first concerns the transformation in roman palms of the measurements taken in metres. Not only do different measurements exist in reference to the palm⁶, but also the instruments themselves, which are used, could be slightly different, depending on the reference sample. Furthermore, ignoring minor errors of construction and survey, it is therefore not possible to define the length of the Gallery or its width of the various inter-axis in palms with absolute precision. To complicate the problem further, the columns are deformed and slightly off axis with respect to the plinths.⁷

There is another aspect to be considered – although the successive reductions (5/6, 5/6, 11/12, 11/12, 11/12, etc.) produce rational numbers, which can be easily expressed as fractions amongst small wholes, they soon produce fractional values, which are difficult to identify. For example, the last value of the series is equivalent to 434.00218599... ounces. We therefore round off to the nearest ounce, or to the fifth of an ounce (a minute). In the latter case one obtains better results than rounding off to the ounce (cf. table 1 and 2, column 4 and 7); however, considering that a minute is less than 4 millimetres, it seems excessive, if not impossible, to think that so much extra calculation was carried out.

To verify the hypothesis, a computer program has been developed. It is able to reduce the mean least square between the data produced by the proposed geometrical series and those measured, also modifying the coefficient of transformation from metres to palms apart from a transformation factor which moves the grid calculated with respect to the one measured together, to reduce the difference. As can be seen upon close examination of tables 1 and 2 and figure 1.3, the variation of the rounding off modifies only minimally both the mean least square and the conversion coefficients (the final models differ by not more than 1.8 mm); basing the first around a centimetre, the second on the values of 0,22445 for the calculation of the inter-axis and of 0.22285 for the floor panels.⁸

There is therefore a difference of around a millimetre and a half between the measurements of the two roman palm samples; this data could lead us to believe the technicians who placed the columns and those who constructed the floor used different instruments.

The theoretical distance between the first and last axis is therefore equivalent to 434 ounces (36 palms and 1/6); even if the same tables show that the first inter-axis seems to have been moved back by one ounce, ideally bringing the sum of the inter-axis to 435 ounces (36 palms and 1/4) and that of the panels to 430 ounces (35 palms and 5/6).

This scheme is therefore very close to the real Gallery with respect to a solid canonical perspective, which differs from the Gallery with average and maximum deviations, which are five times greater.

Finally, it should be noted that geometrical series, which are completely analogue to those proposals, can also be obtain via graphics and with great ease and proportional accuracy (cf. figure 1.4).⁹

If this was the method used to position the columns and the floor panels, it naturally leads to the use of the 12 viewpoints to deform them, one column at a time.¹⁰

Indeed, once the positions of the axis were found, it was necessary in each case to deform each column perspectively both on the plane and in height. A combination of the two mechanisms which have been illustrated is always valid for the deformation: the convergence of the orthogonals to the frontal plane towards the common vanishing point and the reduction in depth, this time not of the inter-axis but of the plinths, of the bases, of the shafts and the capitals of the columns.

The adoption of a *centre of deformation* for each column – placed in a sequence that reproduces the arrangement in depth from the axis – means that the deformation is contained within acceptable limits from the viewpoint of an observer walking along the Gallery.

Thus, the Gallery seems to have been built on the basis of separation and accumulation of the effects derived from different mechanisms: the perspective pyramid that converges to the vanishing point; the geometrical arrangement in depth of the principal elements; the individual deformation, once again perspectively, of each column of the Gallery.

¹⁰ Cf. Rocco Sinisgalli, Una storia della scena prospettica..., op. cit., pp. 21-6.

⁶ Cf. Rocco Sinisgalli, *Una storia della scena prospettica...*, op. cit., p. 91, The roman palm (from "fondo Spada") is equivalent to 22.6 centimetres, the roman architectonic palm (from De Rossi, *Studio di architettura civile*, 1706) states 22.4 centimetres; while the palm in the *Manuale di metrologia* by Martini is 22.3422 centimetres.

⁷ Cf. Rocco Sinisgalli, *Una storia della scena prospettica...*, op. cit., pp. 119-20. The horizontal section of the twelve columns measured can be seen on these pages.

⁸ If the measurements taken from the geometrical series had been directly used on the inclined plane of the Gallery, the parameters of conversion metre/palm would have been 0.2255 and 0.2238, still supporting all the proposed hypothesis (cf. table 1 and 2). ⁹ In figure 1.4 the line AD corresponds to a reduction equivalent to 0.8321 (5/6 = 0.8333, a difference of 0.0012); the line AC to 0.9138 (11/12)

⁹ In figure 1.4 the line AD corresponds to a reduction equivalent to 0.8321 (5/6 = 0.8333, a difference of 0.0012); the line AC to 0.9138 (11/12 =0.91666, a difference of 0.0029); AE to 0.8 (4/5 = 0.8) and lastly AF to 0.7474 (3/4 = 0.75), a difference of 0.0026). Using the values shown in the figure, the mean least square – for the twelve inter-axis – is 1.7 centimetres, with a maximum deviation of 3.1 centimetres on the third axis and generating an overall distance of 433.7 ounces between the first and last axis; substantially confirming the data in table 1, column 9. However, it should be noted that a deviation, however small, of the inclination of the generating line the series – the inclination corresponds to the coefficient of reduction – makes a great difference in the last inter-axis due precisely to the method used for the calculation.

Table 1 - Column inter-axes (cf. figure 1.3)

	1 metre	2 ounce	3 o	4 cm	5 ounce	6 ounce	7 cm	8 ounce	9 ounce	10 cm
1	0.0000	0.00	0	-1.2	0.00	0.0	-1.3	0.00	0.00	-1.2
2	1.1447	61.20	60	1.0	61.19	60.0	0.9	61.19	60.00	1.0
3	2.0958	112.06	110	2.6	112.03	110.0	2.5	112.03	110.00	2.6
4			152			151.6			151.67	
5	3.5400	189.28	190	-2.6	189.24	189.8	-2.3	189.23	189.86	-2.4
6	4.2159	225.41	225	-0.5	225.37	224.8	-0.2	225.36	224.87	-0.3
7	4.8271	258.09	257	0.8	258.04	256.8	1.0	258.04	256.97	0.8
8			286			286.2			286.39	
9	5.8653	313.60	313	-0.1	313.54	313.2	-0.6	313.53	313.35	-0.9
10	6.3336	338.64	338	0.0	338.57	338.0	-0.2	338.56	338.07	-0.3
11	6.7545	361.14	361	-1.0	361.07	360.8	-0.8	361.06	360.73	-0.6
12			382			381.6			381.51	
13	7.4993	400.97	401	-1.3	400.89	400.6	-0.7	400.88	400.55	-0.6
14	7.8390	419.13	418	0.9	419.05	418.0	0.7	419.04	418.00	0.7
15	8.1419	435.33	434	1.3	435.24	434.0	1.0	435.23	434.00	1.1
MLS in centimetres 1.3					1.2			1.2		
Translations in ounces 0.65			0.69			0.65				
Conversion metre/palm 0.224434			0.22448			0.224485				

Table 2 - Floor panels (cf. figure 1.3)

	1 metre	2 ounce	3 o	4 cm	5 ounce	6 ounce	7 cm	8 ounce	9 ounce	10 cm
1	0.0000	0.00	0	-2.7	0.00	0.0	-3.0	0.00	0.00	-2.8
2	0.9178	49.42	48	-0.1	49.42	48.0	-0.3	49.41	48.00	-0.2
3	1.1308	60.89	60	-1.1	60.89	60.0	-1.3	60.88	60.00	-1.2
4	1.8455	99.38	98	-0.2	99.37	97.6	0.3	99.36	97.50	0.6
5	2.0525	110.53	110	-1.7	110.52	110.0	-2.0	110.51	110.00	-1.9
6	2.6538	142.90	142	-1.0	142.90	141.2	0.2	142.88	141.25	0.2
7	2.8349	152.66	152	-1.5	152.65	151.6	-1.0	152.64	151.67	-1.0
8	3.3794	181.98	180	1.0	181.97	180.2	0.3	181.95	180.31	0.2
9	3.5546	191.41	190	-0.1	191.40	189.8	0.0	191.39	189.86	0.0
10	4.0592	218.59	216	2.1	218.58	216.0	1.8	218.56	216.12	1.7
11	4.2135	226.90	225	0.8	226.89	224.8	0.9	226.87	224.87	0.9
12	4.6675	251.34	249	1.6	251.33	248.8	1.7	251.31	248.94	1.6
13	4.8168	259.38	257	1.7	259.37	256.8	1.8	259.34	256.97	1.6
14	5.2159	280.88	279	0.8	280.86	278.8	0.9	280.84	279.03	0.5
15	5.3553	288.38	286	1.7	288.36	286.2	1.1	288.34	286.39	0.8
16	5.7186	307.95	306	0.9	307.93	306.4	-0.1	307.90	306.61	-0.4
17	5.8530	315.18	313	1.3	315.16	313.2	0.7	315.14	313.35	0.5
18	6.1864	333.14	332	-0.6	333.12	331.8	-0.5	333.09	331.89	-0.6
19	6.3108	339.84	338	0.7	339.82	338.0	0.4	339.79	338.07	0.4
20	6.6135	356.13	355	-0.6	356.11	355.2	-1.3	356.08	355.07	-0.9
21	6.7299	362.41	361	-0.1	362.38	360.8	0.0	362.35	360.73	0.2
22	6.9987	376.88	377	-2.9	376.86	376.4	-2.1	376.82	376.31	-1.9
23	7.1082	382.78	382	-1.3	382.75	381.6	-0.8	382.72	381.51	-0.6
24	7.3720	396.98	396	-0.9	396.96	395.8	-0.8	396.92	395.79	-0.7
25	7.4715	402.34	401	-0.2	402.32	400.6	0.2	402.28	400.55	0.4
26	7.7054	414.94	414	-1.0	414.91	413.6	-0.5	414.88	413.64	-0.5
27	7.7950	419.76	418	0.6	419.74	418.0	0.3	419.70	418.00	0.3
28	8.0090	431.28	429	1.5	431.26	429.0	1.2	431.22	429.00	1.3
MLS in centimetres 1.2			1.0			0.9				
Translations in ounces 1.46			1.59			1.52				
Conversion metre/palm 0.22284						0.22285			0.222875	

Explanation of tables 1 and 2 (cf. figure 1.3)

Column 1

Here we find the measurements calculated on the inclined plane of the Gallery and projected on the horizontal plane (cf. Rocco Sinisgalli, *Una storia della scena prospettica* ..., op.cit. p.17). To facilitate the reading and comparison of the data, the first value of each table is zero. In the first table the positions of the axis of the columns measured are noted (the intersecting points of the diagonals of the quadrilaterals of the bases are considered axis of the columns, even if other reference points could have been considered; see, for example, the first columns with the torus that protrudes from the base). On the other hand, in the second table we have a list of the initial and final positions of the fourteen original floor panels. Unit of measurement: metre.

It should be noted in table 1 that rows 4, 8, 12 correspond to the lacuna placed between the four groups of three columns: for this reason there are no data since there are no columns in those positions. However, the geometrical series does include the lacunas (columns 3, 6, 9 of table 1).

Columns 2, 5, 8

In these columns we can see the equivalent measurements in metres of the first column of the table in ounces. Since the precise characteristics of the instruments used to construct the Gallery are not known, various hypothesis have been formed (cf. columns 3, 6, 9), each of which shows a slightly different result in function of the coefficient of conversion between the metre and the roman palm.

Columns 3, 6, 9

To verify the hypothesis of the geometrical series (with reference to the disposition of the axis of the columns and the floor panels), above all, it is necessary to convert the measurements from metres to roman palms or rather to ounces (1 palm = 12 ounces). The hypothesis foresees a starting point (both for the first inter-axis and for the first floor panel, equivalent to 60 ounces (5 palms) and a progressive reduction of the intervals, equivalent to 5/6 for the first two successive intervals and to 11/12 for all the others (the spaces between the floor panels are easily 1/5 the size of the interval in the first two cases and 1/4 in all the others). The sequence of reduction produces, however, values which have not always been rounded off (cf. column 9). However, other two hypotheses are considered: the first foresees the rounding off to the ounce (column 3), the second to a fifth of an ounce (the minute). Such hypothesis considers the coefficients of conversion from metre to palm slightly differently to let the proposed hypothesis coincide more accurately with the hypothesis proposed with the calculation. However, the difference is minimal.

Columns 4, 7, 10

Deviations expressed in centimetres between the hypothesis and calculated values and converted to ounces (cf. columns 2, 5, 8).

Therefore, the columns 2, 3, 4 refer to the hypothesis of rounding off to the ounce of the value calculated for the geometrical series; columns 5, 6 and 7 to the rounding off to a fifth of an ounce; columns 8, 9, 10 to the values which have not been rounded off. The hypothesis regarding columns 2, 3, 4 seems more likely for both tables even if it is not the best result of the deviations.

In figure 1.3 the deviations are illustrated in 2 tables (column 4) between the axis and the real and calculated floor panels.

The **mean least square** (MLS) is expressed in centimetres and indicates the "quality" of interpolation with a single statistical value. In fact, in this data, the major deviations "weigh" more heavily than the others, since the deviations themselves are squared.

The **translation**, in ounces, shows the displacement of the data together shown in columns 3, 6, 9 to reduce the deviation between this data and the data present in columns 2, 5, 8 to a minimum. The translation corresponds to the deviation of the first values of columns 4, 7, 10 (expressed here in centimetres).

The values of **conversion** from metres to roman palms (all different but taken with a close approximation around the average value of 0.224 metres per palm), take the values calculated in columns 2, 5, 8 into account. The slight differences between the values found may be explained – apart from their constructive imprecision – also by the different instruments used by the builders.

Finally, it should be noted that, as has already been said, the calculated measurements were projected on a horizontal plane with a reduction equivalent to 0.99556 (a cosine of 5.4° inclination of the floor of the Gallery). If the measurements of the geometrical series found had been used directly on the inclined plane – as seems probable – the values of conversion from metres to roman palms would undergo a slight increase (1.00446), rising respectively to 0.2255 (for the axis, table 1) and 0.2255 (for the floor panels, table 2); keeping the values presented in both tables unchanged and taking into consideration all things said so far.

2. The regular models and the ideal regular model: dimensions and proportions from a constructive point of view

Even though it has been verified that there is no regular model at the basis of the real Gallery (cf. figure 1.1 and section 1), even if there may be an infinite number of regular models, which, once deformed, interpolate the Gallery itself, it is still evident that the architect needs to consider an ideal regular model as reference. The definition of the arrangement in depth of the columns and of the floor panels is not at all sufficient for the completion of the project: for example, how many panelled ceilings should be taken into consideration each time? What proportions should be given to the columns, to the trabeation and to the bases? Which regular inter-column should be adopted? To be able to answer these and other questions it is necessary to consider the measurements of the calculation (in roman palms):

	palms	fractions	modules
Diameter of first column at base (module)	1	2/3	1
Distance in breadth between columns (internal face of frontal first couple)	14	-	8.4
Distance between axis of 2 internal frontal columns	15	2/3	9.4
Distance between axis of 2 external frontal columns	20	1/3	12.2
Distance in breadth between bases	13	-	7.8
Distance in breadth between axis of first two pairs of columns	2	1/3	1.4
Total height of columns (with base, capital excluding plinth and abacus)	13	1/3	8
Diameter of facade arch	13	1/3	8
Total height of trabeation	3	-	1.8

As has already been noted, when looking at the front of the colonnade, there are an infinite number of regular models – that is, an infinite possibility of inter-columns – that satisfies the question: finding an ideal model in which the axis of the first and last columns are superimposed upon the axis of the first and last column of the real calculated model. Using the measurements of the panelled ceilings placed on the vault, it is, however, possible to define a regular model - that fulfils the requirement of maintaining the panelled ceilings themselves squares - better than the others. Accordingly, the panelled ceilings of the vault are the only useful evidence in defining the dimensions of the regular model which we would define "ideal".

To define the inter-columns of such a model, the panelled ceilings are to be considered as squares.

The arch contains seven panelled ceilings (width 8 intervals), placed in rays with an additional belt on the right and left of the base of the arch itself. Since the diameter of the arch facade is equivalent to 13 1/3 palms, its development corresponds to approximately 21 palms. Taking into consideration that the two belts at the impost of the arch have a width of 1.2 palms each, 18.6 palms remain. Thus, if one panelled ceiling is 2.6 palms: 2.2 p. + 0.4 p. of space – we get 2.2 x 7 + 0.4 x 8 = 18.6 palms.

In depth the arcades contain four panelled ceilings with three intervals, equivalent to two inter-columns plus a module (a diameter of a column). Thus, two inter-columns plus a module are equivalent to: $2.2 \times 4 + 0.4 \times 3 = 10$ palms (6 modules).

Therefore, the inter-column is equivalent to 4 1/6 palms (2 1/2 modules: the elegant and solid Eustilo), whereas the inter-axis is equivalent to 3.5 modules = 5 5/6 p. With these measurements, the panelled ceilings turn out to be squares and the overall dimensions sufficiently "round", with a total height of the columns corresponding to the diameter of the arch facade (8 modules) and to the length of the three groups of columns ($2.5 \times 2 + 3$), measured on the external surface of the three columns. Each group of three columns therefore contains a perfect cube.

In short, the proposed hypothesis produces the following ulterior measurements (cf. figure 1.2):

	palms	fractions	modules
Length of ideal colonnade ¹¹	84	1/3	50.6
Inter-axis of columns	5	5/6	3.5
Length of floor panels (with space)	5	5/6	3.5
Space between panels (equal length/depth)	1	-	0.6
Breadth of floor panels	3	-	1.8
Length of bases (3 columns)	14	1/3	8.6
Distance between bases (lacuna)	9	-	5.4
Distance between axis of two final internal columns	11	-	6.6
Distance between column plinths	3	1/2	2.1
Length and depth of column plinths	2	1/3	1.4
Breadth of base (one column)	2	2/3	1.6
Breadth of base (two columns)	5	-	3

This "ideal" model can be "projected" to define a solid perspective so that the axis of the first and last column are superimposed on the axis of the first and last column of the real Gallery (cf. figures 1.1 and 1.2).

The parameters of projective transformation are:

Plane of the traces:	placed on the axis of the first column
Viewpoint:	distance from plane of traces is 5 2/3 palms; height: 6 2/3 palms (approx. 1.5 metres) ¹²
Vanishing point:	distance from the plane of traces is 69 2/3 palms.

¹¹ The length of the ideal colonnade corresponds to 2.2 times (or 11/5) of the real colonnade (38 1/3 palms long on the plan, 460 ounces; on the inclined plane 38.5 palms). See appendix B to find the address the Internet web site containing the simplified model of the ideal regular colonnade.

¹² This is also the viewpoint used to construct the deformation of the first column. Furthermore, with these values the distance in breadth between the penultimate two columns is equivalent to 7 palms as indicated by Borromini himself in the drawing conserved in the Albertina in Vienna.

3. Study of the mechanism of perspective deformation: definition of the limits, the extreme results and implications and links that exist between visual construction and fruition

The observations that follow refer to a construction of the solid perspective corrected from a geometrical point of view: without, therefore, the proportional alterations, which are characteristic of the Gallery in Palazzo Spada (cf. section 1).

The main objective of these examples is to highlight the role of each parameter of visual construction and fruition: the viewpoints and vanishing points, the plane of traces and the eye of the observer.¹³

Indeed, it is not only the choice of constructive parameters that greatly influences the deformed model – very often difficult to understand and classify even if geometrically inevitable – but the same visual fruition of the solid perspective follows a logic which apparently seems unexplainable.

Two series of examples have therefore been chosen: the first – paragraphs 3.1.1-5 – analyses the modifications undertaken in the solid perspective in the variation of one or more constructive parameters (the viewpoint, the vanishing point or the plane of the traces); the second – paragraphs 3.2.1-3 – studies the phase of ideal reconstruction of the regular model varying the position of the eye of the observer who explores the solid perspective.

Moving along the solid perspective, for example, the height of the observer with respect to the floor remains constant. Walking along the inside of a solid perspective at a constant speed therefore corresponds to - in the interior of an ideal regular model - a displacement also in height and with an accelerated or decelerated movement, according to the direction.

If both are observed from the viewpoint used for the construction of the solid perspective the initial regular model and the solid perspective coincide.

This superimposition is maintained even when the perspective plane is rotated and inclined: the two models remain superimposed - in perspective - precisely because the lines that join each point of the regular model with the viewpoint also pass along the same points of the solid perspective, regardless of the position of the perspective plane.

Since all the semi lines which irradiate from the viewpoint and pass along any point of the solid perspective, also pass along the same point of the regular model, the observer, with his eye on the constructive viewpoint need not, however, necessarily direct his eye towards the vanishing point.

In other words, if we place the focus of the lens of a camera on the constructive viewpoint, in the photograph the solid perspective and the initial regular model are superimposed regardless of the rotation of the lens.

3.1 Modification of the solid perspective to vary the parameters (the *regular model* remains constant)

In the first five examples the regular model is kept constant whereas the constructive parameters (the viewpoint and vanishing point and plane of the traces) are either varied one at a time or in pairs. The resulting solid perspective will therefore have characteristics, which will closely depend on the variations. The derived characteristics can be combined amongst each other generating infinite possibilities of overall variations.

3.1.1 Variation of the solid perspective with displacement of the viewpoint (cf. figure 3.1.1)

If the *regular model*, the *vanishing point* and the *plane of the traces* are kept constant, the displacement of the *viewpoint* along the axis of symmetry, the *solid perspective* is deformed. Such a model will constrict in length if the viewpoint moves away from the *vanishing point*; it will expand – towards the *vanishing point* - if the opposite is the case.

3.1.2 Variation of the *solid perspective* by displacing the *vanishing point* (cf. figure 3.1.2)

Keeping the *regular model*, the *viewpoint* and the *plane of the traces* constant, the displacement of the *vanishing point* along the axis of symmetry deforms the *solid perspective*. Such a model will decrease in length if the *vanishing point* moves towards the *viewpoint;* it will increase – towards the *vanishing point* – if the opposite is the case.

3.1.3 Variations of the *solid perspective* by displacing the *plane of the traces* (cf. figure 3.1.3)

Keeping the *regular model*, the *vanishing point* and the *viewpoint* constant, the displacement of the *plane of the traces* along the axis of symmetry deforms the *solid perspective*. Such a model will increase if the *plane of the traces* moves away from the *viewpoint;* it will decrease – towards the *vanishing point* – if the opposite is the case.

3.1.4 Variations of the solid perspective by displacing both the vanishing point and the plane of the traces (cf. figure 3.1.4)

Keeping both the *regular model* and the *viewpoint* constant, the displacement of both the *vanishing point* and the *plane of the traces* together will modify the scale of the *solid perspective*. Such a model will be enlarged – maintaining the proportions of its parts – if the *vanishing point* and the *plane of the traces* move away from the *viewpoint;* it will be reduced – towards the *vanishing point* – if the opposite is true.

3.1.5 Variations of the *solid perspective* by displacing the *viewpoint* and the *vanishing point* with respect to the axis of the regular model (cf. figure 3.1.5)

If the *viewpoint* and the *vanishing point* define a line, which does not belong to the vertical plane of symmetry of the *regular model*, the resulting *solid perspective* will not keep its right-left symmetry but will generate an "oblique" model. However, by varying the height of the *viewpoint* with respect to the *vanishing point* (placed on the symmetrical plane of the *regular model*), the *solid perspective* will obviously maintain its right-left symmetry: the only differences regard the height.

¹³ See appendix A for a geometrical analysis of the construction methods of a solid perspective. See appendix C for nomenclature.

3.2 Modifications of the regular model varying the parameters (constant solid perspective)

In these examples the solid perspective remains constant while the observer places his eye in different positions to the point used to construct the solid perspective itself. He will therefore see – from a geometrical point of view – a regular model which differs from the initial one (it would be identical only if the observer's eye were placed on the constructive viewpoint). Some "regularities" were maintained – such as the arrangement in depth of the inter-axis. Others, however, were changed.

3.2.1 Variation of the *regular model* by the movement of the observer's *eye* along the line for the *viewpoint* and the *vanishing point* (cf. figure 3.2.1)

By placing the *eye* in any other position of the line for the *viewpoint* and the *vanishing point* other than the *viewpoint*, the *solid perspective* and the *regular model* do not coincide. Nevertheless, for each point of observation along the line, there is a *regular model* – different to the original – and it too is regular: the only difference between these infinite *regular models* and the original regular model is due to a compression along the axis and therefore, in this example, due to the diverse inter-axis of the columns. If the *eye* moves closer to the *vanishing point*, the inter-axis becomes smaller; it expands, however, if the eye moves away from the *vanishing point*.

3.2.2 Variation of the *regular model* by the movement of the *eye* of the observer above or below the line for the *viewpoint* and the *vanishing point* (cf. figure 3.2.2)

If the eye is raised or lowered with respect to the *viewpoint*, the *regular model* is no longer completely regular: in fact, the floor is inclined towards the top or the bottom. It should be noted that the columns of the "regular model" restored in this manner still remain parallel to one another and are all of the same height: the observer who places his eye higher with respect to the *viewpoint* will still see a descending ramp which is regular; if the *eye* is lowered, the ramp will be ascending, but still regular.

3.2.3 Variation of the *regular model* by moving the *eye* of the observer to the right or to the left of the line for the *viewpoint* and *vanishing point* (cf. figure 3.2.3)

If the eye moves to the right or left with respect to the *viewpoint*, how does the *regular model* change? In this case the Gallery becomes oblique but the colonnades still remain parallel to one another. It is evident that the effects can add up: if the observer moves the eye further forward, above and, for example, to the left with respect to the *viewpoint*, he will see a regular gallery restored with the inter-axis of the columns compressed with respect to the standard *regular model* (*eye* moved further forward with respect to the *viewpoint*). Furthermore, the observer will see the Gallery as a descending ramp (since his eye is above the *viewpoint*) and finally, he will also see the ramp as an oblique on his right.

Appendix A Geometrical methods for the definition of the solid perspective

Method A¹⁴, cf. figure A.1

The starting point is - on a plane view and in perspective - the object to be reproduced, in our case a box.

The position of the eye is fixed in O; the *plane of the traces* and the floor plane are assigned, inclined with respect to the horizontal plane. Point A will have as an image in the illusory space the point located by A'₁, on plane and A'₂ in height.

Between the plane and the elevation of each individual point, we can reconstruct the solid perspective of the given object in the space. If we trace the parallel to the plane π_2 for O, F is the vanishing point of the solid perspective for where the *plane of the vanishing points* passes. The eye, placed on O will see the object box coincide with the deformed box.

Method B, cf. figure A.2

This method, as do all the others, maintains the horizontality of the segments parallel to the plane of the traces and the verticality of all the segments (the segments parallel to the plane of the traces remain as such during the transformation).

The coordinates of O and two lines must be defined: r_3 which indicates the course of the real model, r_4 which indicates the progress of the deformed model.

For each point A of the model (in the example, A belongs to the ideal not deformed model; but the same algorithm can also be applied the other way round to find the ideal model starting from the deformed one):

- Define the line O-A (r_1) .
- Define the horizontal line for A.
- Find the intersection A1 with r_3 (course of the real model).
- Define the line for A1-O (r_2) .
- Find the intersection A_2 with r_4 (course of the deformed model).
- Define the horizontal line for A_2 .
- Find the intersection A_3 with r1. A_3 is the point you are looking for.

Knowing the height of A with respect to O (A-A'), one can find the height A_3 -A" (always with respect to O), through the two similar triangles AA'O and AA₃A".

Method C, cf. figure A.3

Once again this method is based on the properties of similar triangles and, from an algorithmical point of view, the application of a factor of scale, homogeneous and variable, is translated to the coordinates X, Y, Z of point A. The scale factor will have the value OF/(OF+AC) with the pole in O where OF is the distance between the viewpoint and the vanishing point and AC is the distance of point A of the plane of the traces. Segment AC is considered of positive value if A, with respect to the plane of the traces, is placed towards F; negative if placed towards O. Therefore, the scale factor will be less than 1 for all points placed on the semi-plane, defined by the plane of the traces which contains F (OF+AC>OF, if AC is positive), greater than 1 for all the points placed on the other semi-plane (OF+AC<OF if AC is negative) and equal to one for all points placed on the plane of the traces. Segment OF is constant for all the transformations of the points. When segment AC is zero the scale factor will therefore be equal to one: indeed, on the plane of the traces the two models coincide and there is no deformation. If AC is equal, for example, to the half of OF – with A towards F – the scale factor will be equivalent to 2/3; if, on the other hand, if AC is congruent with OF, the scale factor will be 0.5 and so on. In figure A.3, AC is equivalent to 0.18 times OF and therefore the scale factor is 0.8475.

A particular case can be noted: if segment AC it congruent to OF and point A is placed on the semi-plane containing O (AC negative), the scale factor cannot be calculated. In fact, in algebra the scale factor is OF/O and from a geometrical point of view this configuration foresees that the line for CF and AO are parallel to each other, therefore not being able to define A1 in their point of intersection, if not as an inappropriate point.

If - in that same configuration with A in the semi-plane of O - segment AC were actually greater than OF, one would obtain a symmetrical inversion of point A₁ with respect to the line for OF (scale factor negative). However, these methods can only be applied to configurations that foresee - if A is placed towards O - a segment AC which is smaller than OF.

¹⁴ See: Rocco Sinisgalli, Una storia della scena prospettica..., op. cit., p. 85.

Appendix B User manual for the software BURBON for the generation of a solid perspective

The software BURBON allows you to generate models of solid perspectives – or to counter-deform them into regular models – defining a three-dimensional model DXF, a *viewpoint*, a *vanishing point* and a *plane of the traces*.

The entities of the starting model are contained in a file type DXF of infinite size. The entities with points belonging to the group DXF 10..17, 20..27, 30..37 are modified. However, 3D faces, lines, points, 3D poly-lines, traces, texts etc. may be transformed. The blocks, the Mesh entities and the AME solids must be "exploded" repeatedly to obtain the individual primitive entities constituting them. In the case of the AME solids (only for the releases 11 and 12 of AutoCAD) it is advisable to apply the MESH command before "exploding" them; this enables you to obtain 3D faces and not simple lines. In this manner, once the deformed model has been brought back to AutoCAD, the hidden lines can be cancelled, applying the command HIDE. It should be noted that, in the "explosion" of the solids, AutoCAD (from version 13 on) produces entities of a Body type, which cannot be correctly transformed by the software rather than lines or 3D faces.

The software BURBON (given its name by Guidubaldo Burbon dal Monte) works in the following way:

- First the user constructs a regular three-dimensional model (which should be memorised in the DXF format, version 12), made up of faces (preferably), 3D poly-lines, points, lines etc. The model is then placed in space so that its axis (the axis that is to connect the *viewpoint* with the *vanishing point*) is parallel to the Y-axis.

- Selecting *Proietta* from the BURBON menu the command *Proietta modello 3D* is activated.

The name of the file of entry will have to be inserted (file of type DXF); the exit file (which will contain the model transformed from the software) and the coordinates of the *viewpoint* PV (X, Y, Z), the *vanishing point* PF (only the Y coordinate, since the coordinates X and Z will be the same as the viewpoint) and of the *plane of the traces* (also in this case only the coordinate Y, since the plane is considered to be parallel to the plane XZ). The PV and the PF must neither coincide (amongst themselves) nor be placed on the *plane of the traces*. The line PV-PF is parallel to the Y-axis and orthogonal to the *plane of the traces*. On this plane the starting and end model coincide.

- If the signal *Deformazione del modello* is activated on the option (menu *Proietta*), the regular starting model will be deformed in the solid perspective of exit (direct deformation). In the opposite case (absence of signal, obtained by selecting the command itself), the deformed starting model will be counter-deformed into a regular model of exit (inverse deformation).

- If the signal on the option *Scrivo punti su file* (menu *Proietta*) is activated, the software will create a file in the ASCII format (with the same base name of the exit file and the suffix CDR) containing the initial and final coordinates of all the transformed points.

- Since the software automatically generates an ASCII file (with the same base name of file of exit and suffix PRM), containing the parameters of perspective transformation (coordinates PV, PF and *plane of the traces*), it will be possible to successively recall such a file using the command *Carica parametri*... (menu *Proietta*).

- The software also automatically generates or up-dates an ASCII file (with the same base name of file of entrance and suffix HST), containing the sequence of all the values used by the software, starting from the entrance file: in this manner it will be easily possible to reconstruct the various tests carried out on the initial model.

The software (including these instructions in HTM format and a DXF example file, containing a model of the ideal regular Gallery which has been simplified and illustrated in section 2) is found in the CD-Rom, in the file BURBON, and in the Internet site: *http://www.iuav.unive.it/dpa/ricerche/trevisan/burbon/burbon.htm*

To obtain the solid perspective closest to the real Gallery of Palazzo Spada from the example model, the file BASE.DXF must be indicated as entry file; the following values must be used as parameters of transformation, already indicated in section 2:

X PV = 0.0; Y PV = -5.6666; Z PV = 6.6666; Y PF = 69.6666; Y perspective plane = 0.0.

Appendix C Nomenclature

Regular model

Is the original three-dimensional regular model without perspective deformation. In the case of a colonnade similar to the one in Palazzo Spada, the intercolumns are all the same as are all the other repetitive elements (abaci, capitals, bases, etc.); the trabeations are horizontal and the one on the right is parallel to the one on the left. The gallery vault is semi-circular.

Solid perspective

Is the three dimensional model which has undergone the perspective deformation.

Viewpoint

Is the position that the eye of the observer must place itself to make the *regular model* coincide with the *solid perspective*: no other position allows such a superimposition. It is also used as a constructive parameter of the *solid perspective*.

Vanishing point

Is the side of the pyramid "containing" the *solid perspective*. This point is also used – together with the *viewpoint* and the *plane of the traces* – as a parameter to generate the *solid perspective*.

Eye

Is the position of the observer's eye: it can coincide with the *viewpoint* but does not have to. If it does the *regular model* (constructed by the *viewpoint*) is superimposed on the *solid perspective*; if it does not, there is no superimposition.

Plane of projection

Is the plane that records the image of a perspective plane and is comparable to the film found in a camera.

Plane of the traces

Is the plane which is perpendicular to the line for the *viewpoint* and for the *vanishing point*, used to construct the *solid perspective*. All the elements of the *regular model* that lie on this plane undergo no perspective or scale deformation.

Direct deformation

Is the transformation that, using the parameters quoted previously, allows one to pass from the *regular model* to the *solid perspective*.

Inverse deformation

Is the transformation that, using the parameters quoted previously, allows one to pass from the *solid perspective* to the *regular model*.



Figure 1.1 Comparison of the plane of the real Gallery (in red) and the regular deformed model with perspective method (in blue), so as to make the axis of the first and last columns coincide with the same columns of the real Gallery. To the left the deviations between the axes are highlighted, in metres. To the right, the deviations between the floor panels. The mean least square for the axis is equivalent to 8 centimetres; for the floor panels it is 10 centimetres.



Figure 1.2 To the left, the comparison of the ideal regular model (in blue) and the counter deformation of the real Gallery (in red). To the right, the verification of the presence of more than one viewpoint and dimensions of the *ideal* regular model (cf. section 2), expressed in roman palms.

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Figure 1.3 Comparison of the plan of the real Gallery (in red) and the arrangement in depth generated by the geometrical series illustrated in section 1. To the left the deviations between the axes can be seen in centimetres. To the right, the deviations of the floor panels. Mean least square is approximately 1 centimetre, both for the axis and for the floor panels.



Figure 1.4 Graphic method for the construction of the geometrical series of reduction. Once the segments are defined – AB (60 ounces, first inter-axis), BC (26.666 ounces), BD (40 ounces), BE (45 ounces) and BF (53.333 ounces), pointing the compass on A with opening AB, trace the first arc to cross the segment AD. By lowering the perpendicular to AB, the length of the second inter-axis is defined and so on. The line AD corresponds to a reduction equivalent to 0.8321 (5/6 = 0.8333, difference of 0.0012); the line AC to 0.9138 (11/12 = 0.91666, difference of 0.0029; AE to 0.8 (4/5 = 0.8) and finally, AF to 0.7474 (3/4 = 0.75, difference of 0.0026). Figures A. 1-3 Graphic method of construction of solid perspective (cf. appendix A).



Figure 3.1.1-4 Abacus of the perspective deformations (cf. section 3). The starting model is shown in red, constant and to be deformed (figure 3.1.5) or to be counter deformed (figure 3.2.1-3); the deformed or counter-deformed model is in blue. The viewpoint is at O; the vanishing point at F.



Figure 3.1.5 e 3.2.1-3 Abacus of the perspective deformations (cf. section 3). The starting model is shown in red, constant and to be deformed (figure 3.1.5) or to be counter deformed (figure 3.2.1-3); the deformed or counter-deformed model is in blue. The viewpoint is at O; the vanishing point at F.